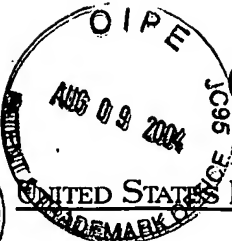


AUG 10 2004



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/806,343	03/22/2004	Alfred L. Williams	065208.00003

Holland & Knight LLP  
 Suite 4100  
 100 N. Tampa Street  
 Tampa, FL 33602-3644

CONFIRMATION NO. 2209

## FORMALITIES LETTER



\*OC000000012882536\*

Date Mailed: 06/07/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) ALL.
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) ALL.

SUMMARY OF FEES DUE:

08/11/2004 FFANAI2 00000046 501667 10806343  
 02 EC:2001 385.00 OP  
 03 FC:2051 10.00 DA 55.00 OP

Total additional fee(s) required for this application is **\$450** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Alfred L. Williams, II and Michael P. McGinty

SERIAL NUMBER: 10/806,343

DATE: March 22, 2004

FOR: Method and Apparatus for Implementing  
a Defined Benefit Plan

ATTORNEY DOCKET NO.: 065208.00003

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF MISSING PARTS - FILING DATE GRANTED

Sir:

This is in response to the Notice of Missing Parts dated June 7, 2004 in regard to the above-referenced application. In response thereto, submitted herewith are the following documents:

1. Declaration for Patent Application;
2. Power of Attorney;
3. Assignment (with recordation cover sheet);
4. Seven (7) sheets of drawings;
5. Checks in the amount of \$385.00 and \$110.00;
6. A copy of the Notice to File Missing Parts; and

08/11/2004 FFANAI2 00000046 501667 10806343

01 FC:2251

55.00 OP

7. Return receipt postcard.

Respectfully submitted,



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Stefan V. Stein  
Registration No. 29,702  
Holland & Knight LLP  
Post Office Box 1288  
Tampa, Florida 33601-1288  
Telephone: 813/227-8500  
Facsimile: 813/229-0134

CERTIFICATE OF MAILING

I HEREBY CERTIFY that the foregoing was placed in an envelope and mailed via U.S. Express Mail (No. ER965764126US), postage prepaid to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this the 9<sup>th</sup> day of August, 2004.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 50-1667.



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Stefan V. Stein

cc: Alfred L. Williams

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